



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5  
RECEIVED

OCT 09 2003

Technology Center 2100

In re application of: **Griffin et al.**

Serial No.: **09/864,392**

Filing Date: **05/24/2001**

For: **Method and system for a role-based access control model with active roles**

§ Group Art Unit: **2171**

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **AUS920010100US1**

§

RECEIVED  
NOV 12 2003  
GROUP 3600

Certificate of Mailing  
Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450  
on October 3, 2003.

By: \_\_\_\_\_

Joseph R. Bufwell, Reg. No 44,468

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

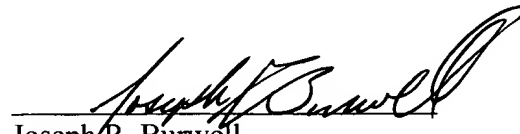
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits. No fee or certification is required. Additionally, each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. A copy of the related International Search Report is included herewith.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

Date: October 3, 2003

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph R. Burwell", is written over a horizontal line.

Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

P.O. Box 28022

Austin, Texas 78755

Voice: 866-728-3688 (866-PATENT8)

Fax: 866-728-3680 (866-PATENT0)

Email: joe@burwell.biz



2171

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Griffin et al.**

Serial No.: **09/864,392**

Filing Date: **05/24/2001**

For: **Method and system for a role-based  
access control model with active roles**

§ Group Art Unit: **2171**

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **AUS920010100US1**

§

**RECEIVED**  
**NOV 12 2003**  
**GROUP 3600**

Certificate of Mailing  
Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as First Class mail in an  
envelope addressed to:  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450  
on October 3, 2003.

By:

*Joseph R. Burwell*  
Joseph R. Burwell, Reg. No. 44,468

TRANSMITTAL DOCUMENT

Transmitted herewith are the following:

- ☒ Information Disclosure Statement (IDS);
- ☒ Form PTO-1449;
- ☒ Copies of references for accompanying IDS (4);
- ☒ A self-addressed, stamped postcard to be returned to sender.

DATE: October 3, 2003

Respectfully submitted,

*Joseph R. Burwell*  
Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

P.O. Box 28022

Austin, Texas 78755

Voice: 866-728-3688 (866-PATENT8)

Fax: 866-728-3680 (866-PATENT0)

Email: [joe@burwell.biz](mailto:joe@burwell.biz)

**RECEIVED**

**OCT 09 2003**

**Technology Center 2100**